

STATE OF CALIFORNIA
DEPARTMENT OF INSURANCE

Certificate of Exemption
(California Insurance Code Section 1749.01)

I _____ agree to sell only specific life
(agent)

insurance policies or annuities which have an initial face amount of ten thousand dollars (\$10,000.00) or less and are designated by the purchaser for the purpose of paying funeral and burial expenses. If at any time, while I am appointed to act as an agent for _____ I should sell any insurance product (insurer) other than that specified above, I will notify the California Department of Insurance immediately in writing. I understand that my compliance with this agreement exempts me from the requirements specified in California Insurance Code Sections 1749 and 1749.3.

Dated on this _____ day of _____, 20____

(Agent)

_____ hereby
certifies that _____ (insurer)

(agent) will sell only specific life insurance policies or annuities which have an initial face amount of ten thousand dollars (\$10,000.00) or less and are designated by the purchaser for the purpose of paying funeral and burial expenses. The undersigned insurer also certifies that it will not accept any non-complying

business produced by the above-named agent and agrees to notify the California Department of Insurance in writing if the above-named agent submits any such business to the undersigned insurer. The insurer also agrees to notify the California Department of Insurance in writing in the event that this agreement between the agent and the insurer is terminated, amended, modified or changed in any manner. I understand that the agent's compliance with the above agreement shall exempt him/her from the requirements of specified in California Insurance Code Section 1749 and 1749.3.

I also understand that completion of this form by the insurer satisfies the requirements of California Insurance Code Section 1749.01.

Dated on this _____ date of _____, 20____.

By_____

Signature)

(Name - Print or Type)

(Position/Title)